

P21361.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P21361	Total Pages	
Inventor(s) or Application Identifier Hiroshi NAKATSUKA, Takeshi YAMAGUCHI, Katsu TAKEDA, Katsunori MORITOKI, and Kojiro OKUYAMA			
Title: METHOD OF DRIVING A PIEZOELECTRIC TRANSFORMER AND POWER SOURCE APPARATUS USING THE SAME			

U.S. PTO
10/91/80A
4771 U.S. PRO
08/16/01

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>69</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>19</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>4</u>] a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <u>[Note Box 6 below]</u> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p>	<p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input type="checkbox"/> Figure of Drawing to be published <u>1</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>MATSUSHITA ELECTRIC INDUSTRIAL CO., Ltd. of Osaka, JAPAN</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter under 37 CFR 1.53(b) and (f)</u> _____ _____ _____ _____</p>
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u> </u> / <u> </u>, filed <u> </u>. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <u> </u> continuation-in-part, <u> </u> continuation, <u> </u> divisional, of Application No. <u> </u> / <u> </u>, filed <u> </u>.</p>	

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

8/16/01
Date

07055

PATENT TRADEMARK OFFICE


Signature
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name
33,320

FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	H. NAKATSUKA et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT	(\$) 1130.00	Attorney Docket Number	P21361
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METHOD OF PAYMENT (check one)**FEE CALCULATION** (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089Deposit Account Name GREENBLUM & BERNSTEIN
P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 12/29/99)**1. FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
101	201	355	Utility filing fee	<u>710</u>
106	206	160	Design filing fee	_____
107	207	245	Plant filing fee	_____
108	208	355	Reissue filing fee	_____
114	214	75	Provisional filing fee	_____

SUBTOTAL (1) (\$) 710**2. CLAIMS**

Total Claims	-20=	Extra 10	Fee from below x 18	= Fee Paid
30	-20=	10	x 18	<u>180</u>
Independent 6	-3=	3	x 80	<u>240</u>

Claims _____ x _____ = _____

Multiple Dependent Claims _____ x _____ = _____

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 420**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	_____
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130 Non-English specification	_____
147	2,520	147	2,520 For filing a request for reexamination	_____
112	920*	112	920* Requesting publication of SIR Prior to Examiner action	_____
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	_____
115	110	215	55 Extension for response within 1st month	_____
116	390	216	195 Extension for response within 2nd month	_____
117	890	217	445 Extension for response within 3rd month	_____
118	1,390	218	695 Extension for response within 4th month	_____
128	1,890	228	945 Extension for response within 5th month	_____
119	310	219	155 Notice of Appeal	_____
120	310	220	155 Filing a brief in support of an appeal	_____
121	270	221	135 Request for oral hearing	_____
138	1,510	138	1,510 Petition to institute a public use proceeding	_____
140	110	240	55 Petition to revive unavoidably abandoned application	_____
141	1,240	241	620 Petition to revive unintentionally abandoned application	_____
142	1,240	242	620 Utility issue fee (or reissue)	_____
143	440	243	220 Design issue fee	_____
144	600	244	300 Plant issue fee	_____
122	130	122	130 Petitions to the Commissioner	_____
123	50	123	50 Petitions related to provisional applications	_____
126	240	126	240 Submission of IDS	_____
581	40	581	40 Recording each patent assignment per property (times number of properties)	_____
146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))	_____
149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))	_____
179	710	279	355 Request for Continued Examination (RCE)	_____
169	900	169	900 Request for expedited examination of a design application	_____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) (\$) 0.00

*Reduced by Basic Filing Fee paid

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name				Reg. Number	29,027
Signature				Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. NAKATSUKA

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For : METHOD OF DRIVING A PIEZOELECTRIC TRANSFORMER AND
POWER SOURCE APPARATUS USING THE SAME

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Va. 20191

The above-identified application includes:

- 69 pages of specification (including Abstract);
- 30 total claims; with 6 independent;
- 19 sheets of drawings with 24 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,
H. NAKATSUKA et al.

Leslie Paperen Reg. No. 33,329
Bruce H. Bernstein
Reg. No. 29,027

August 16, 2001
GREENBLUM & BERNSTEIN, P.L.C.
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